

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Cobrinik et al.	: Group Art Unit: 3628
Serial No.: 09/852,599	: Examiner: Clement B. Graham
Filed: May 10, 2001	: Attorney Docket No.: 006878.112200
For: METHODS AND SYSTEMS FOR MANAGING INVESTMENTS IN COMPLEX FINANCIAL INVESTMENTS	:

AMENDMENT IN RESPONSE TO JULY 28, 2006 OFFICE ACTION

Mail Stop Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS:

This Amendment is filed in reply to the Office Action issued by the U.S. Patent and Trademark Office on July 28, 2006 in connection with the above-identified patent application. The July 28, 2006 Office Action provided a period of 3 months in which to file a response, i.e., by October 28, 2006. October 28, 2006 was a Saturday and October 29, 2006 was a Sunday. Accordingly, this Amendment is being timely filed on Monday, October 30, 2006.